

PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

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| FEE TRANSMITTAL for FY 2003 Effective 01/01/2003. Patent fees are subject to annual revision. | | Complete if Known | | | | |
|--|-----------------------------|--|-----------------|----------|--|--|
| | | Application Number | 10/039,743 | | | |
| | | Filing Date | 10/26/2001 | | | |
| | | First Named Inventor Balakrishnan Sha | | ınkar | | |
| | | Examiner Name | F. Oropeza | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | Art Unit | 3762 | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 0 | | Attorney Docket No. | SJ1-026US | | | |
| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | |
| Check Credit card Money Cther None Check Credit card Order Check Credit card Check | | ADDITIONAL FEES • Entity Small Entity | | | | |
| Deposit Account 16-0068 | Fee Cod | te (\$) Code (\$) | Fee Description | Fee Paid | | |

| ` | | Order Character | Largo | Entity | Small | Entity | | |
|-----------------------|--|---|-------------|----------|-------------|-------------|--|--|
| X Deposit | | | Fee Code | | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| Account Number | 16-006 | 8 | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| Deposit Account | PACESE | TIER, INC. | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| Name | | | 1053 | 130 | 1053 | | Non-English specification | |
| _ | s authorized to; (s) indicated belo | (check all that apply) Credit any overpayments | 1812 | 2,520 | 1812 | | For filing a request for ex parte reexamination | |
| | • • | during the pendency of this application | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| | | ow, except for the filing fee | | 1.840* | 1805 | 1 840* | Requesting publication of SIR after | |
| | entifled deposit a | | 1003 | 1,040 | ,,,,,, | 1,010 | Examiner action | |
| | | ALCULATION | 1251 | 110 | 2251 | 55 | Extension for reply within first month | j. l |
| 1. BASIC F | | | 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| Large Entity 8 | | | 1253 | 930 | 2253 | 465 | Extension for reply within third month | <u> </u> |
| Fee Fee | Fee Fee F | oc Description Fee Paid | 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| Code (\$) 1001 750 | Code (\$) 2001 375 | Utility filing fee | 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 1002 330 | 2002 165 | Design filing fee | 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1002 530 | 2003 260 | Plant filing fee | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1003 320 | 2004 375 | Relssue filing fee | 1403 | 280 | 2403 | 140 | Request for oral hearing | \vdash |
| 1005 160 | 2005 80 | Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1000 | | | 1452 | 110 | 2452 | . 55 | Polition to revive - unavoidable | L |
| | | UBTOTAL (1) (\$) | 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| 2. EXTRA | CLAIM FEES | FOR UTILITY AND REISSU | 1501 | 1,300 | 2501 | 650 | Utility Issue fee (or reissue) | |
| PREV | | Extra Claims below Fee Pale | 1502 | 470 | 2502 | 2 235 | 5 Design issue fee | |
| Total Claims | 26 64 | | 1503 | 630 | 2503 | 315 | 5 Plant Issue fee | |
| Independent Claims | 3 -8- | = 0 x 84 = 0 | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Multiple Dopo | endent | L = | 1807 | 50 | 180 | 7 50 | Processing fee under 37 CFR 1.17(q) | |
| Large Entity | | | 1806 | 180 | 180 | | Submission of Information Disclosure Stmt | igwdot |
| Fee Fee Code (\$) | Fee Fee Code (\$) | Fee Description | 8021 | 40 | 802 | 1 40 | Recording each patent assignment per property (times number of properties) | |
| 1202 18 1201 84 | 2202 9 | | 1809 | 750 | 280 | 9 37 | 5 Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1203 280 | 2203 140 | Multiple dependent claim, if not paid | 1810 | 750 | 281 | 0 37 | S For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1204 84 | 2204 42 | Reissue independent claims over original patent | 180 | 1 750 | 2801 | 37 | | |
| 1205 18 | 2205 9 | "Reissue daims in excess of 20 and over original patent | 1802 | 2 900 | 1802 | 80 | Request for expedited examination of a design application | |
| 1 | ~ . | TOTAL (2) (\$) 0 | Othe | r fee (s | | | | <u> </u> |
| | SUE | STOTAL (2) (\$) 0 | 11 | a | Daaia | F36 | E thoid (a) (a) | |

| **or number prev | SUBTOTAL (2) (3) U iously paid, if greater, For Reissues, see abo | *Reduced by Basic Filing Fee Paid | SUBTOTA | L (3) (\$) | |
|-------------------|--|--|----------|-------------------|--|
| SUBMITTED BY | | | (Complet | e (if applicable) | |
| Name (Print/Type) | Derrick Reed | Registration No. (Attorney/Agent) 40,138 | Telephon | 818-493-2200 | |
| Signature | Den il | | Dato | 10/17/03 | |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

2001



TELECOPIER COVER SHEET

Octob r 17, 2003

| To: Assistant Commissioner for Patents | From: Estella Pineiro Patent Administrator 818/493-2251 | | |
|---|---|--|--|
| Attention: Examiner F. Oropeza Art Unit: 3762 TECHNOLOGY CENTER 3700 | ST. JUDE MEDICAL CRMD 15900 Valley View Court Sylmar, California 91392-9221 | | |
| Telecopier: 703/306-4520 | Telecopier: 818/362-4795 | | |
| RE: Filing of Response to Restriction Requirement Applic. No. 10/039,743 Filed: 10/26/2001 Docket No. SJ1-026US | Number of pages being sent: 4 (including cover page) | | |

PLEASE DELIVER TO EXAMINER FRANCES P. OROPEZA, Art Unit 3762.

OCT 2 0 2003



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Balakrishnan Shankar et al.

Serial No.:

10/039,743

10/26/2001

Examiner:

F. Oropeza

Art Unit:

3762

Docket No.:

SJ1-026US

For:

Filed:

IMPLANTABLE CARDIAC THERAPY DEVICE WITH DUAL

CHAMBER CAN TO ISOLATE HIGH-FREQUENCY CIRCUITRY

TRANSMITTAL OF RESPONSE TO RESTRICTION REQUIREMENT AND CERTIFICATE OF MAILING

MAIL STOP AMENDMENTS
ASSISTANT COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

FAX RECEIVED

OCT 2 0 2003

Submitted herewith for filing are the following documents:

GROUP 3700

X Response to Restriction Requirement

Transmittal of Response... and Cert. of Mlg.

X Fee Transmittal

Respectfully submitted,

Date: 10 17 03

Derrick Reed, Attorney for Applicant(s)

Reg. No. 40,138

Correspondence Address: PACESETTER, INC. 15900 Valley View Court Sylmar, CA 91392-9221 818/493-2200 818/362-4795 (fax)

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on:

October 17, 2003

Estella Pineiro

Date